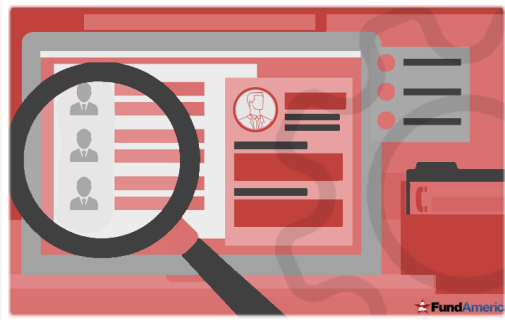


COMPLIANCE: Anti-Money Laundering (AML) Checks

KYC/BSA as required by the PATRIOT Act



Built Into Transactions

Our systems collect investor data and run AML background checks without pausing or disrupting the investor's transaction.

Non-US Residents Okay

If the investor is international then we require their passport, national id and a residential document.

Rigorous Database Search

With the collected data we run a rigorous database search to verify the identity of the investor and ensure they are not on any lists.

AML Screening Includes:

- ✓ OFAC - Office of Foreign Assets Control - SDN list
- ✓ Office of Controller of Currency - Unauthorized Banks
- ✓ Her Majesty's Treasury's Consolidated List of Financial Sanctions Targets
- ✓ OSFI - Canada
- ✓ Commodity Futures Trading Commission List of Regulatory & Self-Regulatory Authorities
- ✓ State Department Terrorist Exclusions
- ✓ Defense Trade Controls (DTC) Debarred Parties
- ✓ United Nations Named Terrorists
- ✓ European Union Designated Terrorists
- ✓ US Bureau of Industry & Security - Unverified Entities, Denied Entities and Denied Persons List
- ✓ Federal Bureau of Investigations (FBI) Lists - Including White Collar & Cyber-Crime Lists
- ✓ Foreign Agent Registrations Act
- ✓ World Bank Ineligible Firms
- ✓ International Police Most Wanted and Red Alerts
- ✓ Financial Crimes Enforcement Network (FINCEN) Special Alert List
- ✓ Politically Exposed Persons
- ✓ Terrorist Financing List
- ✓ Various Gaming Commission Sanction Lists
- ✓ Deceased Persons Master File Search
- ✓ *Plus Many Others...*